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Item Type	Article;Preprint
Authors	Hamdan, Nasser;Alawadhi, Hussain;Shameer, Mohamed
Citation	Hamdan,N; Alawadhi, H; Shameer, M. Characterization of PM <sub>2</sub> # <sub>5</sub> at a traffic site using several integrated analytical techniques. X-ray Spectrom., 50 (2) (2021), pp. 106-120, https://doi.org/10.1002/xrs.3201.
DOI	10.1002/xrs.3201
Publisher	Wiley
Download date	2025-07-03 21:12:04
Link to Item	https://hdl.handle.net/11073/25702

X-RAY Spectrometry



## Characterization of PM2.5 at a Traffic Site Using Several Integrated Analytical Techniques

Journal:	X-Ray Spectrometry
Manuscript ID	XRS-20-0055.R1
Wiley - Manuscript type:	Research Article
Date Submitted by the Author:	n/a
Complete List of Authors:	Hamdan, Nasser; American University of Sharjah, Physics Alawadhi, Hussain; University of Sharjah, Applied Physics Department, X-ray Center for Material Analysis Shameer, Mohamad; University of Sharjah, Applied Physics Department, X-ray Center for Material Analysis, Research Institute of Sciences and Engineering
Keywords:	PM2.5, elemental analysis, chemical composition, Traffic site, X-ray spectroscopy



#### Characterization of PM<sub>2.5</sub> at a Traffic Site Using **Several Integrated Analytical Techniques**

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- Received: date; Accepted: date; Published: date

Abstract: We have conducted a comprehensive, year-long, sampling campaign for particulate matter (PM) at a site near a major highway, following standard protocols. Total mass, elemental, and chemical composition of the fine fractions (PM<sub>2.5</sub>) of particulates originating from traffic are determined using several complementary techniques. These complementary techniques include gravimetric analysis, X-ray fluorescence, scanning electron microscopy and energy dispersive spectroscopy, X-ray diffraction and black carbon multi-wavelength absorption. Conducting an enrichment factor analysis and correlation coefficient calculations on elements show that Si, Ca, Al, Fe, Ti, Mn, Mg, K, Na and Cr are of crustal origin, while P, Cl and V are enriched slightly from human activities. All other measured elements (Rb, Zr, Ba, Sr, S, Ni, Cu, Zn and Pb) have high enrichment factors and relate to anthropogenic sources. Sulfates in the form of Mascagnite and Koktaite had the largest contribution to PM<sub>2.5</sub> (43% of total PM concentration). Natural pollutants such as Quartz, Calcite, iron oxide, and aluminum oxide originating from the crust also contribute to PM<sub>2.5</sub>. eBC and elements such as Zn, Ba, Cu, Fe and S are related to traffic emissions such as exhaust emissions and tire, brakes and road erosion. Correlation coefficients and enrichment factor calculations helped identify elements that are related to natural emissions and those related to anthropogenic sources. Being an arid region, the PM2.5 mass concentrations were found to be within or slightly above international air quality standards.

Keywords: PM<sub>2.5</sub>; elemental analysis; chemical composition; Traffic site; X-ray spectroscopy.

1. Introduction

The United Arab Emirates (UAE) has gone through rapid development and urbanization in the past few decades. It is characterized as an arid region with annual rainfall of less than 50 mm/year and large expansions of deserts [1, 2]. The country is associated with increased anthropogenic sources of pollution, including crustal materials due to mega construction projects, oil-related and industrial projects, and heavy traffic emissions. This is in addition to natural pollution sources such as sea salts from the Arabian Gulf and frequent dust storms originating from the desert. Outdoor particulate matter (PM) pollution is the leading environmental risk in the UAE due to its adverse health effects [3-13]. Furthermore, traffic emissions are a major source of anthropogenic pollutants that have adverse health effects. Several toxic elements and hazardous compound are emitted from fuel burning (diesel, gasoline and natural gas), tire and brake-pad erosion and road dust resuspension [14-17].

Although there have been several studies [3, 5, 10, 12, 18-23] in the region about the effect pollution can have on one's health, only a few publications involve comprehensive studies on the elemental composition, chemical speciation and source apportionment of PM2.5 (particles with aerodynamic diameter less than  $2.5 \,\mu$ m) and PM<sub>10</sub> (particles with aerodynamic diameter less than 10 µm). Engelbrecht et al., have conducted one of the major studies on characterizing mineral dust and other aerosols in the Middle East [19, 24]. Their study was part of the Enhanced Particulate Matter Surveillance Program (APMSP) [20], and was done on behalf of the US Department of Defense for

five deployment countries at fifteen US military sites in the Middle East. The countries involved in this program are Iraq, Kuwait, Afghanistan, Qatar, and the UAE. Although this study involved comprehensive sampling and characterization work, it only investigated samples collected from military bases in the desert, far from urban and residential areas. The study used only one site in Qatar, one site in the UAE, four sites in Kuwait and six sites in Iraq. They found that the 24-hour mean mass concentration of PM<sub>2.5</sub> in the UAE site was 52  $\mu$ g/m<sup>3</sup>. They also identified various minerals in PM<sub>2.5</sub> and PM<sub>10</sub> at all these sites and concluded, using X-ray diffraction (XRD), scanning electron microscopy and energy dispersive spectroscopy (SEM/EDS) analysis, that the mineral content of the aerosols is originating from local soil. Urban and residential areas are more susceptible to industrial and traffic pollution. Therefore, and despite the importance of this study, it does not provide information about anthropogenic pollutants in the region. The second comprehensive study about long-term trends in the ambient fine PM in the UAE was conducted by Al Taani et al. [21]. They used satellite data from 1980 to 2016 to study long-term contribution of PM2.5 in the UAE and reported an overall average of  $PM_{2.5}$  mass concentration of 61.2  $\mu$ g/m<sup>3</sup>, with annual averages varying between 77 to 45  $\mu$ g/m<sup>3</sup>. The study did not include any elemental or chemical analysis of the PM<sub>2.5</sub> components nor did it include any attempt for source apportionment. In a review article, Tsiouri et al. have summarized the available source apportionment studies of atmospheric PM in the Middle East [7]. In their study, they have recognized significant problems in quantifying PM emissions in the region. They have also concluded that there is a need for a systematic data collection, source apportionment and assessment of PM levels to help minimize adverse health effects. Reid et al., have performed the United Arab Emirates Unified Aerosol Experiment (UAE<sup>2</sup>), which is an atmospheric thermodynamic and aerosol data collection on 18 flights in the UAE between August to September 2004 [25]. They observed high regional dust from 100 to 300 µg/m<sup>3</sup> in the background and more than 1.5 mg/m<sup>3</sup> during dust events in the first 5 km of the atmosphere. They also observed 10 to 100 µg/m<sup>3</sup> of sulfate-based pollutants originating from the region's petroleum industry. 

There have been several other attempts to study various aspect of PM pollution in the region. For example, Al-Salem *el al.* [26] have performed a two-year study of PM<sub>10</sub> in an urban site in Kuwait. They reported a mean annual mass concentration for  $PM_{10}$  of 290 µg/m<sup>3</sup> for 2004 and 2005. Al Katheeri et al., conducted a study of gaseous and PM ambient pollutants at a site that has four gas turbines in the UAE. They reported that the 24 h average of  $PM_{10}$  mass concentration was 150 µg/m<sup>3</sup> using the Beta attenuation technique at an Ambient Air Monitoring station (AQMS) [18]. Arashiro and Leith have used a passive personal sampler to study the size distribution and mass concentrations of PM<sub>2.5</sub> and  $PM_{(10-2.5)}$  in the UAE and concluded that these passive samplers can provide reasonably precise measurements [23]. They have attempted to demonstrate the potential of using WRF-Chem to estimate the air quality index (AQI) over the UAE along with two satellite products (MODIS-MAIAC and MSG-SEVIRI) for dust detection and tracking. Al-Thani et al., have conducted a preliminary study on 9 samples of fall-out dust in Doha, Qatar collected in 2016 [22]. They used SEM and optical microscopy to study the morphology and shape distribution of particles and estimated the average particle size to be 7.4 µm. Alattar and Yousif have proposed a comparative study to identify the impact of air pollution, including PM levels, on health in the Arab World and in Oman in particular [27]. They also suggested mathematical models to predict pollution levels using a fast, cheap and safe method. 

In the past, we have conducted several studies for outdoor [1, 8, 28-30], and indoor [8] PM using complementary analytical techniques. However, our current study is a systematic sampling campaign and analytic approach utilizing several non-destructive, bulk and spectroscopic techniques to identify the constituents of the fine fraction of PM in the air (PM<sub>2.5</sub>) at a "traffic" site. The study includes gravimetric and statistical analysis, complementary non-destructive techniques such as X-ray fluorescence (XRF), SEM/EDS, XRD, and black carbon content measurements to determine

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elemental composition and chemical speciation for PM, that can be used as source tags for pollutants
 [31].

## 3 2. Experiments

## 4 2.1. Sampling campaign and protocol

Sampling was performed using a low volume sampler LVS/LVS6-RV manufactured by Sven Leckel, Ingenieurbüro GmbH Germany. PM<sub>2.5</sub> samples were collected for 24 hours every sixth day between October 26, 2017 and January 14, 2018, followed by sampling twice a week every Tuesday (a working day) and Friday (a weekend day) until October 26, 2018, from 00:00 to 23:59. EN standard (EN12341, 2.3  $m^3/h$ ) on 47 mm diameter Teflon filters was used, with size-selective jets of 2.5  $\mu$ m. The sampling site is located near Sharjah International Airport highway with coordinates: 25°20'39.2"N and 55°26'46.4"E. Figure 1 shows the map of the sampling site. The location is an air quality-monitoring site for the Sharjah-based Bee'ah, an environmental management company. The site is within 50 meters of the highway and about 15 m above the highway level. The highway usually has high traffic congestion in the morning between 7:00 and 9:00 am, and in the evening between 4:30 and 8:00 pm on weekdays, while it has normal traffic flow outside these intervals and during weekends. The temperature and humidity were recorded every five minutes during sampling. A normalized air volume at standard temperatures, pressures and humidity was then used to calculate the amount of PM<sub>2.5</sub> per cubic meter of air. Due to high temperatures in the region, the normalized air volume was usually about 10% less than the measured air volume. The normalized volumes were used for all the subsequent calculations. Airborne PM<sub>2.5</sub> mass concentrations were determined gravimetrically following a standard operating procedure [1]. Teflon filters were preconditioned at standard temperatures (23 °C) and relative humidity (RH) of 55%, neutralized with an electrostatic charge neutralizer, and weighed on a Sartorius microbalance (model CPA2P) with a precision better than 1 µg. The average of four weighing measurements were taken to be the mass of the filter before sampling. The process is repeated after sampling to calculate the mass concentrations. The air volume during sampling was normalized by continuously (every five minutes) monitoring the relative humidity, pressure and temperature using meteorological sensors attached to the sampling head. 

- - 29 2.2 Equivalent black carbon measurements

To determine the equivalent black carbon (eBC) [1, 32], a Multi-wavelength Absorption Black Carbon Instrument (MABI) was used. The instrument uses 7 lasers to determine the light absorption coefficient of a specimen at different wavelengths. "The Laser absorption method relies on a mass absorption coefficient, which is a strong function of the particle size, refractive index and density of the eBC particles, as well as the wavelength of light used. The eBC data were not corrected for the contribution of hematite. As fine eBC can be generated from a range of different sources, its particle size and density distribution may also vary in a collected sample depending on the dominant sources of eBC at the site" [33].

55382.3. XRF: Elemental analysis

39 XRF measurements were performed using a Thermofisher QuantX spectrometer, with Rh tube
 40 source and using 8 mm beam size to obtain good averaging of the data. The spectrometer is equipped
 41 with eight source-filters that are used for specific elements.

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Micromatter thin film standards, with film thicknesses comparable to sample thicknesses, were used to establish single-point calibration values for the sensitivity factors (in cps mA<sup>-1</sup>  $\mu$ g<sup>-1</sup> cm<sup>2</sup>) for the following elements: Na, Mg, Al, Si, P, S, Cl, K, Ca, Ti, V, Cr, Mn, Fe, Ni, Cu, Zn, Rb, Sr, Zr, Ba and Pb. Next, elemental composition (in µg/m<sup>3</sup>) for all the filters was determined using the calculated sensitivity factors. For the purpose of quality assurance and control, the data was validated against a NIST Standard Reference Material 2783, using the same established calibration procedures mentioned above for the Micromatter film standards. This Standard Reference Material is an air particulate sample reduced in particle size to simulate airborne PM<sub>2.5</sub> and deposited on a polycarbonate filter membrane [1].

## 10 2.4. SEM/EDS measurements

Inaging and elemental mappings were performed for selected filters on a TESCAN environmental scanning electron microscope (VEGA3 XMU) using an Oxford Instruments X-Max 50 EDS detector. Mappings were done for 8 minutes. Samples representing different weather conditions and different seasons were selected for analysis. The electron beam excitation was set at 20 kV and low vacuum (10 Pa) was used to avoid charging of the filters. Fourteen filters from both clear and dusty days were selected for SEM imaging and elemental mapping.

#### 28 17

## 17 2.5. XRD crystallographic phase analysis

18 X-ray diffraction measurements were performed on selected filters using a Bruker D8 19 ADVANCE system with a Cu tube and a linear detector (LYNXEYE XE). More than twenty samples 20 of  $PM_{2.5}$  were selected for XRD analysis. The measurements were performed with a step size of 0.02°, 20 range of 5° to 55°, and time per step of 2.5 s. After background removal, the different phases were 22 identified using the ICDD database PDF-4+ (Release 2019). The same filters that were used for 23 SEM/EDS analysis, from both clear and dusty days and for different seasons, were selected for XRD 24 analysis.

## 25 3. Results and Discussions

## 26 3.1 PM<sub>2.5</sub> mass concentrations

Figure 2 represents the mass concentrations in  $\mu g/m^3$  for each of the PM<sub>2.5</sub> filters collected over the sampling campaign period. Other than dust storm and windy days, the mass concentrations lie within or slightly higher than international air quality standards. The United States Environmental Protection Agency's National Ambient Air Quality Standards (NAAQS) for 24-hour fine-particle mass concentration is 35  $\mu$ g/m<sup>3</sup>, while the WHO standard guideline is 25  $\mu$ g/m<sup>3</sup>. The PM<sub>2.5</sub> data show that during winter (November to February) several values lie within the international standards, with an average mass concentration of  $36 \pm 11 \,\mu g/m^3$ . More dusty days start to occur during the spring and summer, resulting in larger PM<sub>2.5</sub> concentrations with an average of  $52 \pm 17 \,\mu g/m^3$ . The overall mass concentration average was  $(48 \pm 7) \mu g/m^3$ . Although natural pollutants originating during dust events contribute mainly to the coarse fraction of PM (between 2.5 and  $10 \,\mu\text{m}$ ), they also contribute to the fine particles (less than 2.5 µm) in the atmosphere. Table 1 shows 15 dust events that occurred during the sampling period, with one severe dust episode on July 31, 2018. The PM<sub>2.5</sub> concentration on that day was 146  $\mu$ g/m<sup>3</sup>, while that of PM<sub>10</sub> concentration was 676  $\mu$ g/m<sup>3</sup>. The table shows that most dust events occur during the months of May-August. The UAE climate during the year can be divided into two seasons: a very hot summer and a moderate "winter". We can consider the months between May and October to be the summer season, where both the temperatures and humidity are

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high, while the months between November and March are more moderate. Table S1 shows the
 average meteorological parameters in Sharjah between 1977 and 2019 [2].

Zalakeviciute et al. reported a positive correlation between PM<sub>2.5</sub> and RH in urban traffic areas associated with low precipitation (< 1mm per day) or rain-free days [34]. They even concluded that daily averages of PM<sub>2.5</sub> were above healthy limits in Belisario, an area known for high RH. Cheng et al. have also reported that high concentrations of  $PM_{2.5}$  during summer were typically associated with high RH [35]. In the presence of high RH and low precipitation in Sharjah, fine particles remain suspended in the air for prolonged periods, resulting in higher mass concentrations in the spring and summer months. This is also evident from the hazy atmosphere during these months with an average annual visibility in Sharjah of 9 km [36]. 

12 3.2: Equivalent black carbon

Figure 3 shows the variation of eBC concentrations for  $PM_{2.5}$  at different sampling dates, obtained using the MABI instrument. The average eBC over the campaign period was 6.5 µg/m<sup>3</sup> (13.6% of the total PM), with values ranging from 5% on November 25, 2017, to 25% on April 10, 2018. Traffic emission, fossil fuel burning in energy generation, residential and industrial emissions (including petroleum industry) are believed to be the main sources of eBC [37, 38]. We found a statistically significant positive correlation with a correlation factor of 0.48 between eBC concentration and  $PM_{2.5}$ concentration with p < 0.001.

21 3.3 XRF results

XRF measurements were performed on all the 86 collected PM<sub>2.5</sub> samples. Twenty-two elements were analyzed, and their mass concentrations and uncertainties were determined. Since these elements do not exist in pure elemental state, they are usually reported as oxides [39]. Nevertheless, based on our XRD and EDS results below, S appears mostly as Mascagnite  $[(NH_4)_2SO_4]$ , which is the dominant phase in almost all the samples. Therefore, in our calculations, we have taken Mascagnite to represent S. Supplementary Table S2 shows the concentrations of all elements within the detection limits. Sheet 1 shows the concentration of the oxides of most elements (except for S and Cl), while sheet 2 shows the elemental concentrations. Table S3 shows the lower detection limits (LDL) for the elements measured in this work, as obtained with our QuantX machine.

Table S2 shows that sulfates (present mainly as Mascagnite) represent the largest fraction of the total  $PM_{2.5}$  (> 40%) among all other constituents, with an annual average of 20.6  $\mu$ g/m<sup>3</sup>, and with values ranging between 4.4 and 52.6 µg/m<sup>3</sup>. These results indicate that sulfates, which are secondary pollutants formed from gas-to-particle conversion in the atmosphere, are the dominant phases in  $PM_{2.5}$  [40, 41]. The average mass concentration of sulfates in the winter and summer are 17.4  $\mu$ g/m<sup>3</sup> and 22.0 µg/m<sup>3</sup>, respectively. The table also shows that Ca (taken as CaO) has an average mass concentration over the sampling year of 4.5  $\mu$ g/m<sup>3</sup>. The average values for Ca in the winter and summer were 2.8  $\mu$ g/m<sup>3</sup> and 5.9  $\mu$ g/m<sup>3</sup>, respectively. Similar behavior of lower mass concentrations in the winter compared to summer is also observed for other major natural pollutants such as Fe, Si and Al. All these results agree with the observed total PM concentrations in the two seasons. More dust storms take place between April and September, resulting in increased pollution levels. Furthermore, precipitation in winter (despite its low rate) contributes to the wet deposition of fine dust that may otherwise remain suspended for prolonged periods. Summer in the UAE is a very hot and humid season, with practically zero precipitation. Figure 4 shows the variation of the mass

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1 concentrations of Mascagnite, eBC, Quartz, CaO and iron oxide (Fe<sub>2</sub>O<sub>3</sub>) over the whole year of 2 sampling.

Sea salts originating from the sea breeze blowing from the Arabian Gulf are among the natural sources of PM in the region. There is a reasonable correlation (~ 0.54) between the concentrations of Cl and Na for the data collected over the sampling campaign. High correlations between Na and Cl were observed for several days such as February 20, and July 6, 2018. The measured correlation factor is expected because not all the Na content is correlated with Cl. For example, there is volatile loss of Cl compounds and formation of Na<sub>2</sub>SO<sub>4</sub> aerosols by the heterogeneous reaction of NaCl aerosol and H<sub>2</sub>SO<sub>4</sub> vapor. Some of the Na contribution is due to formation of sodium nitrates (NaNO<sub>3</sub>), as will be shown below from elemental maps and XRD results. The presence of aged sea salts is due to the depletion of Cl. Fresh sea salt exists mostly in the coarse fraction of PM [42]. The percentage of the fresh to aged sea salt will be determined by a future project using  $PM_{10}$ . The presence of other crustal elements with lower concentrations, such as Mg, K, Mn, Cr, and Ti, are also confirmed from XRF and will be discussed in terms of enrichment factors below.

XRF analysis also revealed the presence of trace elements such as Ni, V, Pb, Zn and Cu. These elements can be used as markers for certain pollution sources. For example, V and Ni are known to be originating from heavy oil combustion used in ships and industries [42-45]. Other industries such as oil related industries in the region may also contribute to the emission of V and Ni [46, 47]. Figure 5 shows a good correlation (> 0.75) between these two elements, indicating that they are mostly originating from the same source. The V (in the form of vanadium oxide) concentrations varied between (10 - 70) ng/m<sup>3</sup>, while the Ni (in the form of Nickle oxide) concentrations varied between (2 – 30) ng/m<sup>3</sup>. Zn, Cu, Ba, Mn, eBC and Pb are associated with traffic emissions [14, 15, 48, 49]. Other crustal elements such as Fe, Al, Si and Ca are also considered to be due to traffic through road dust resuspension [14, 15, 49]. Figure 6 shows the variation of Pb content for all sampling days. The figure shows an average mass concentration of lead oxide of 30 ng/m<sup>3</sup> with a few values spiking as high as 190 ng/m<sup>3</sup>. Lead is originating from industrial emissions, such as a few car battery recycling plants that are located within a few miles of the sampling site. These values are still well below the EU air quality limits of 500 ng/m<sup>3</sup> [48]. 

Table 2 shows the correlation among the mass concentrations of the measured elements. There is a significant correlation between highlighted elements in the table with Pearson coefficient p < 0.05. The table shows a strong correlation among Al, Ca, K, Fe, Ti and Mn (with correlation factors above 0.9). These elements also correlate well with Cr, P and Rb. These high correlation factors imply that these elements are of common crustal origin. This result will be confirmed from enrichment factor calculations below. The slightly lower correlations among Cr, P, Rb and the other crustal elements mentioned above indicates that these three elements have partly anthropogenic contributions in PM<sub>2.5</sub>. In contrast, S has negative correlations with all elements except V and Ni (with correlation factors of 0.4 and 0.25, respectively) and lower positive correlation with Rb, Cr and Zn. These results confirm that S is mainly an anthropogenic pollutant and Rb and Cr have small anthropogenic contributions. Pb has very low or negative correlations with several elements except Cu, Zn, Ba and Zr. The strong correlation between V and Ni (0.75) shows that they are mostly originating from the same source in agreement with the literature [50]. Several source apportionment studies assign the emission of V and Ni to heavy oil combustion [14, 49]. Mazzei et al. have reported that heavy oil combustion is identified by the concentration ratio of V : Ni of  $3.2 \pm 0.8$  [45]. Nigam *et al.* have measured the concentration ratio of V : Ni of different ship engines and fuels by sampling directly at the exhausts of the auxiliary engines used by these vessels and found it to be in the range of 3.5 to 4 [51]. The average value of the V: Ni concentration for our sampling period is  $2.9 \pm 0.7$ . Hence, we

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assign the observed concentrations of V and Ni to heavy oil combustion from ships in the nearby Sharjah port, which is about 4 km from the sampling site. Ba, Zn, Fe and Cu, in addition to eBC are usually associated with traffic emissions that include exhaust emissions, brake lining abrasion (Cu and Ba), metallic brakes and rubber wear of tires (Zn, Fe and eBC) [14, 49, 52, 53]. Traffic emissions also include Ca, Fe, Al, Si and carbon from road surface wear and road dust resuspension. S is a major pollutant that is present as a secondary phase (Mascagnite) resulting from the conversion of SO<sub>2</sub> gas originating from fossil fuel burning into sulfate particulates. Thus, it can also be associated with the exhaust emission, power plants and industrial emissions [1]. Na and Cl come from the sea salt that is transported with the sea breeze from the nearby Arabian Gulf, which is about 4 km from the sampling site. Although Mg can come either from the sea salt or from crustal materials [49], we believe that in our case it is mostly a crustal element because of its high correlation with other crustal elements mentioned above. Mg also has a low enrichment factor (EF) of 3.9 and 3.5 for the cold and hot seasons, respectively, as discussed below. Furthermore, there is a low, but statistically significant positive correlation between Mg and Na with correlation factor of 0.3 and p < 0.006. The ratio of Mg/Na in sea water is in the range of 0.15 [54], while, in our  $PM_{2.5}$  samples it ranges from 0.44 to 12 with an average value of 2.6, indicating mostly a crustal origin for Mg.

17 Enrichment factors can be used to distinguish between natural and anthropogenic origin of 18 elements, by showing the degree of enrichment of certain elements compared to the relative 19 abundance of these elements in the crustal material. EF is a quantitative approach for evaluating the 20 strength of the crustal and noncrustal origin by calculating the concentration of a certain element 21 relative to a reference crustal element that is abundant in the earth's crust. Reference elements are 22 usually Al, Si and Fe [55, 56]. The EF for element X is defined in the following equation [57, 58]: 

$$EF_{X} = (X/Y)_{air}/(X/Y)_{crust} , \qquad (1)$$

where Y is the concentration of the reference element, which in our case is Si. A high enrichment factor for a certain element suggests a prevailing anthropogenic origin. If the EF large for a certain element, then the element is not enriched, and the main source is natural, such as soil or rock weathering. If the EF is small, then the primary source is anthropogenic [58, 59]. The elemental composition of the crust were obtained from references [60, 61].

Figure 7 (a, b) shows the enrichment factors for various elements relative to Si, both for the cold season (October 15 – April 1, 2018) and for the hot season (April 1 – September 15, 2018). We can classify the elements into three groups: not enriched (EF < 10), moderately enriched (10 < EF < 100), and highly enriched (EF > 100). Figure 7 shows that Mn, Al, K, Ti, Fe, Mg, Cr and Ca have low EF (< 10) for both the cold and the hot seasons, indicating their crustal origin. The second group is P, V and Cl, which are moderately enriched by human activities. The third group of elements consists of Rb, Zr, Ba, Sr, S, Ni, Cu, Zn and Pb, which are highly enriched. As explained above, these elements are associated with traffic emissions (exhaust emission, road dust resuspension, road erosion and break and tire wear), oil combustion, power plants and industrial emission. It is obvious that the enrichments of P, V, Cl, Rb, Zr, Ba, Sr, S, Ni, Cu, Zn and Pb are higher in the cold season than the hot season, showing the significance of anthropogenic sources in winter. Summer in the Gulf region in general, including the UAE, is associated with lower human activities. The majority of the UAE population leaves the country for summer vacation, escaping the high temperatures and humidity [62]. Furthermore, schools are closed, and many businesses and human activities operate at their minimum capacities, which lead to a drastic drop in the number of vehicles on the roads and in the energy consumption. All these factors lead to the observed increase in the EF of anthropogenic pollutants in winter compared to summer. Pb was the highest enriched element followed by Zn. EF of Pb in the winter and summer were 30700 and 8650, respectively, indicating that it is originating from a major human activity. Gasoline has been Pb-free for a long time in the region and most vehicles are relatively new. We found out that Pb is originating mainly from several car battery recycling

 plants nearby. Table 3 shows the mass concentration of Pb collected from the premises of one of these
plants during two working days and a weekend day. The table shows high concentrations of Pb
during the working days compared with the weekend.

XRF results give an average of 4.9  $\mu$ g/m<sup>3</sup> for the mass concentration of S. Assuming that S is present mostly in the form of the dominant Mascagnite phase, this value corresponds to a mass concentration of 20 µg/m<sup>3</sup> for Mascagnite. Hence, on average, Mascagnite represents about 43% of the PM<sub>2.5</sub> total mass concentration (48  $\mu$ g/m<sup>3</sup>). The remaining inorganic species identified by XRF, which are taken to be oxides, add up to 13  $\mu$ g/m<sup>3</sup>, representing 28% of the total, while the eBC (obtained from MABI instrument) is about 14%. Although the 28% inorganic species include both natural and anthropogenic sources, most of this fraction is due to natural sources. The unaccounted mass, which is about 15%, is probably composed mostly of organic matter and water, which was ignored in the above calculations. We estimate the error in the measurement to be in the range of 15 to 20%. Figure 8 shows the distribution of various contributions to PM<sub>2.5</sub> average mass concentrations based on the techniques used in this study.

Organic carbon (which is not detected by techniques used in this study) can come from both primary and secondary sources. These aerosol pollutants are expected to be high in the vicinity of an active traffic highway. Alkanes are among the most abundant organic species [63]. Other common organic compounds originating from road traffic emissions, solid fuel combustion and industrial emissions are polycyclic aromatic hydrocarbons (PAHs) [64]. Biologic and soil organic matter, and organic acids are also reported in the literature as common organic PM [63]. Pant et al. have reported in their study for New Delhi that organic matter contributes to more than 33% of PM<sub>2.5</sub> in the summer [63]. Fu et al. [65] have reported, based on organic tracers, that traffic contributions can be as high as 43%. Our estimated values for organic carbon are less than these reported values. It should be noted that evaluation of elemental concentrations using XRF analysis is usually associated with several uncertainties. Among them is the inability to detect lighter elements such as O, C, and N. XRD and EDS results indicate the presence of several minerals as major phases in the samples such as Koktaite, Gypsum, Palygorskite and sodium nitrate, with large fractions of these lighter elements. In addition, experimental and systematic errors in gravimetric analysis and eBC measurements, also contribute to the uncertainty in the overall identification of various pollution sources.

## 31 3.4. XRD Results

Although XRD is not commonly used in PM analysis, we have utilized this method efficiently to identify major crystallographic phases present in both PM<sub>2.5</sub> and PM<sub>10</sub> [28]. XRD analysis was performed on 20 selected samples. Figure 9 is a representative of most of the analyzed samples. The figure shows the analysis of filter 80, sampled on October 5, 2018. Although this was a dusty day with a mass concentration of 77 µg/m<sup>3</sup>, the XRD pattern does not show any crustal or mineral phases. The figure shows the presence of two phases: ammonium sulfate as Mascagnite, and calcium ammonium sulfate  $[(NH_4)_2Ca(SO_4)_2 H_2O]$  as Koktaite, in addition to peaks originating from the Teflon filter. Most of the analyzed samples showed only these three phases. This is a strong evidence that sulfates are the dominant phase in the fine PM, which agrees with the observed XRF results and analysis above. It should be noted that although XRD cannot detect trace, organic or amorphous phases, it is a very powerful tool to understand the composition and formation mechanisms of secondary pollutants. Masganite and Koktaite exist in very fine particle sizes [8]. Mascagnite is a secondary pollutant formed by the conversion of sulfur dioxide gas from fossil fuel burning in traffic, power plants and various industries to fine particles. Although the Diesel used in the UAE has low sulfur content (10 ppm), this sulfur content reaches up to 500 ppm in some of the neighboring Gulf Countries [66]. With the high temperatures, abundance of sunshine, and high relative humidity in Sharjah, oxidation of the  $SO_2$  gas by hydroxyl radicals (OH) produces sulfuric acid ( $H_2SO_4$ ), which then interacts with ammonia (NH<sub>3</sub>) to form fine particles of ammonium sulfate (<  $0.5 \mu$ m in diameter) that can travel hundreds of kilometers in the atmosphere [67]. Furthermore, natural gas that has high sulfur content is the main source of energy used in power plants in the UAE in recent years [68]. Calcite that is

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present in the atmosphere reacts with the ammonium sulfate, at a rate measured in days, to yield

Koktaite as an intermediate product and, finally, Gypsum [CaSO<sub>4</sub>·2H<sub>2</sub>O]. Gypsum appears mostly in PM<sub>10</sub>[39, 69]. Crustal and mineral phases were only observed in the XRD results of very few samples. Figure 10 shows the XRD pattern of sample 35, collected on April 17, 2018, a dusty day. In addition to Koktaite and Mascagnite, Quartz, Gypsum and Calcite are the major three phases in this sample. Other phases present in this sample are: Palygorskite  $[(Mg,Al)_2Si_4O_{10}(OH) \bullet_4(H_2O]_{,0})$  and Chlorite-serpentine [(Mg,Fe)<sub>6</sub>AlSi<sub>3</sub>O<sub>10</sub>(OH)<sub>8</sub>], a mineral consisting of magnesium and iron silicates that have similar layered crystal structure as Chlorite. Halite [NaCl] and sodium nitrate [NaNO<sub>3</sub>] were not

10 detected by XRD but have been observed in the EDS maps. An example is shown in the next section.

#### 16 11 3.5. SEM/EDS Results

SEM images, EDS spectra and elemental maps were obtained for several samples. Figure 11 shows the SEM image and elemental maps on part of filter 80 (corresponding to the XRD pattern in figure 9). The SEM micrograph shows the presence of a large agglomeration of crystals, with one having a size of more than 25 µm. Elemental maps show an excellent correlation between the maps of S, N and O, confirming the results observed by XRD above and the domination of S in the XRF results. This is also a confirmation that S exists as a sulfate phase, a secondary phase that is formed in the atmosphere through gas-to-particle conversion, as explained earlier. The figure also shows maps of F and C, both representing the filter material. These elements are clearly absent in areas where ammonium sulfate exists.

The critical RH for ammonium sulfate at 24 °C is 81%. On October 6, from 00:00 to 9:00 am, the sample was left outside under the ambient atmosphere with wide swings in humidity and temperature. RH increased from 89% to 100% and the temperature dropped to 24 °C, causing some of the Mascagnite on the filter to deliquesce. As the sun rose later in the day, the humidity decreased to 29% while the temperature increased to 37 °C, causing evaporation of the moisture and formation of the observed large chunks of ammonium sulfate (~ 25 µm x 15µm) in figure 11.

Koktaite was also observed in different regions of  $PM_{2.5}$  samples. It was even observed in the PM<sub>10</sub> sample that was collected simultaneously with the PM<sub>2.5</sub> filter 80 above, confirming the XRD results. Figure 12 shows the SEM micrograph and SEM elemental maps for parts of PM<sub>10</sub> filter 67 that was sampled simultaneously with filter 80. The figure shows the formation of long rods that have good correlations in the elemental maps of Ca, S, N and O, indicating formation of the Koktaite phase observed by XRD. 

The EDS maps of most samples also show correlations among several other elements (not shown here) that were measured by XRF and correspond to mineral phases observed by XRD, such as Quartz, Calcite, Palygorskite and Chlorite-serpentine. In addition to maps for the phases observed by XRD, Halite and sodium nitrate have been observed in the EDS maps of several samples. Figure 13 shows elemental maps of Na, Cl, N and O for sample 21, which was collected on February 20, 2018. The white crystal on the upper right corner in the SEM image is a Halite crystal, as indicated by the good correlation between Na and Cl (and no correlation with O). For several other smaller crystals, Na correlates well with both N and O, indicating the presence of sodium nitrate. February 20, 2018 was a clear day and the mass concentration of PM<sub>2.5</sub> was 42 µg/m<sup>3</sup>. Based on the direction of the wind, the Halite in this sample is originating from the sea breeze coming from the Arabian Gulf (to the west of the sampling site), while the sodium nitrate is a secondary pollutant formed in the atmosphere. 

## 44 4. Conclusions

Fine PM sampled at a roadside site were found to be affected by dust events and traffic. XRF results show that S has the highest contribution of all measured elements in PM<sub>2.5</sub> samples. Enrichment factor analysis reveal that Si, Fe, Ca, Al, Mg, K Mn, Na, Ti and Cr are crustal elements, with EF < 5 while P, V and Cl are partially enriched with EF > 10. Rb, Zr, Sr, S, Cu, Ni, Ba, Zn and Pb are highly enriched, due to their anthropogenic nature. The EFs for anthropogenic elements are higher in winter than in summer, due to a reduction in human activities in the summer. We have also

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observed high correlations among Si, Ca, Fe, Al, Mg, K, Mn, and P, confirming the crustal origin of
these elements. A medium correlation was observed between Na and Cl originating from fresh and
aged sea salts. Ba, Zn, Fe and Cu, in addition to eBC are traffic related elements. The high correlation
coefficient between V and Ni shows that they are mostly originating from heavy oil combustion
emissions from ships and power plants. A summary of the source tags of different elements is shown
in Table 4.

XRD and EDS results indicate that sulfates are secondary pollutants that exist mainly in the form of Mascagnite and Koktaite, the two dominate phases in PM<sub>2.5</sub>. XRD and EDS results also show the presence of fresh (Halite) and aged (sodium nitrate) sea salts. Several minerals were also identified by XRD, including Quartz, Calcite, Gypsum, Palygorskite and Chlorite-serpentine. eBC is found to constitute an average of about 14% of the total  $PM_{2.5}$  mass and is due to traffic, energy generation and industrial emissions. Based on the mass balance approach, sulfates represent 43% of PM<sub>2.5</sub>, other inorganic compounds (both natural and anthropogenic) represent 28%, eBC 14% and the remaining 15 % are due to organic carbon compounds. In the absence of dust storms, the mass concentrations of PM<sub>25</sub> were found to be within international standards. The integration of complementary, nondestructive analytical techniques such as gravimetric analysis, eBC, XRF, XRD, and SEM/EDS mapping enabled us to perform chemical speciation and analysis to identify various primary and secondary compounds in the atmospheric fine PM and their origin. 

The techniques used in the present study lack the capability to determine the organic and ionic components of the PM. Future work will include performing quantitative source apportionment for both PM<sub>2.5</sub> and PM<sub>10</sub> that are sampled simultaneously using the same protocols.

Supplementary Materials: The following are available online at www.mdpi.com/xxx/s1. Table S1: The average meteorological parameters in Sharjah for the period 1977-2019; Table S2: Elemental compositions with their uncertainties, Table S3: The lower Detection Limits of the used XRF system for the measured elements.

Author Contributions: All the authors have contributed to this article as follows: methodology, NMH and HA;
software, MS; validation, NMH and HA; formal analysis, NMH and HA; investigation, NH and MS; data
curation, MS, HA, NH; writing—original draft preparation, NMH.; writing—review and editing, HA and
NMH.; supervision, NMH; project administration, NMH; funding acquisition, NMH and HA. All authors have
read and agreed to the published version of the manuscript,

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42 34 Acknowledgments: The authors acknowledge the support of the Advanced Materials Research Lab at the
43 35 University of Sharjah. NMH received support from the Dean's office, College of Arts and Sciences at the
44 36 American University of Sharjah. The work in this paper was supported, in part, by the Open Access
45 37 Program from the American University of Sharjah.

**Conflicts of Interest:** The authors declare no conflict of interest.

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#	Date	PM <sub>2.5</sub> Concentration	PM <sub>10</sub> Concentration	Wind speed	Wind	
		$(\mu g/m^3)$	(µg/m <sup>3</sup> )	(km/h)	Direction	
1	02/20/2018	42	290	28	W	
2	04/17/2018	68	325	15	NNW	
3	05/01/2018	68	195	13, 17, 13	S, WNW, W	
4	05/08/2018	58	243	16	NW	
5	06/01/2018	80	218	17	NW	
6	06/05/2018	56	228	19	NW	
7	06/08/2018	97	195	15	W	
8	06/26/2018	62	239	21	W	
9	06/29/2018	60	319	22	Е	
10	07/03/2018	85	292	12	NNW	
11	07/06/2018	61	341	27	Е	
12	07/10/2018	82	296	17, 12	SSE, NW	
13	07/31/2018	146	676	7, 8, 17	S, SE, NW	
14	08/10/2018	72	294	21	Е	
15	08/17/2018	57	238	16, 16	SSE, WNE	

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Table 2: Correlation coefficients among the measured elemental concentrations
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	Na₂O	MgO	Al <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>	P₂O₅	S	CI	K₂O	CaO	TiO <sub>2</sub>	VO <sub>2</sub>	Cr <sub>2</sub> O <sub>3</sub>	MnO	Fe <sub>2</sub> O <sub>3</sub>	NiO	CuO	ZnO	Rb <sub>2</sub> O	SrO	ZrO <sub>2</sub>	BaO	PbO
Na <sub>2</sub> O	1.00	0.30	0.13	0.13	0.26	-0.23	0.54	0.08	0.26	0.11	-0.07	0.10	0.08	0.09	0.06	-0.15	-0.14	0.09	0.28	-0.14	-0.14	-0.01
MgO		1.00	0.86	0.02	0.78	-0.17	0.56	0.77	0.94	0.82	0.09	0.83	0.85	0.88	0.64	0.08	0.24	0.64	0.83	0.58	0.45	0.13
Al <sub>2</sub> O <sub>3</sub>			1.00	0.38	0.62	-0.04	0.35	0.92	0.81	0.95	0.19	0.77	0.87	0.96	0.61	0.14	0.28	0.77	0.64	0.60	0.42	0.07
SiO <sub>2</sub>				1.00	0.13	-0.41	0.16	-0.30	0.01	-0.31	-0.32	-0.01	-0.15	-0.22	-0.16	-0.06	0.01	-0.30	0.01	-0.02	0.11	0.09
$P_2O_5$					1.00	-0.24	0.55	0.53	0.79	0.63	0.11	0.71	0.65	0.67	0.55	0.08	0.17	0.46	0.72	0.50	0.48	0.07
S						1.00	-0.29	0.05	-0.10	-0.03	0.40	0.01	-0.02	-0.04	0.25	-0.06	0.15	0.10	-0.08	-0.04	-0.15	-0.06
Cl							1.00	0.22	0.58	0.33	-0.16	0.37	0.33	0.36	0.18	0.14	0.04	0.27	0.48	0.16	0.13	0.04
K <sub>2</sub> O								1.00	0.75	0.90	0.30	0.75	0.86	0.93	0.65	0.06	0.36	0.79	0.54	0.66	0.49	0.14
CaO									1.00	0.82	0.12	0.89	0.83	0.89	0.69	0.04	0.28	0.63	0.88	0.54	0.43	0.06
TiO <sub>2</sub>										1.00	0.16	0.79	0.86	0.95	0.61	0.11	0.38	0.76	0.63	0.63	0.45	0.07
VO <sub>2</sub>											1.00	0.24	0.30	0.22	0.75	0.03	0.22	0.20	0.14	0.16	0.20	0.05
Cr <sub>2</sub> O <sub>3</sub>												1.00	0.91	0.88	0.79	0.11	0.46	0.64	0.74	0.63	0.45	0.16
MnO													1.00	0.95	0.76	0.15	0.51	0.73	0.64	0.72	0.52	0.25
Fe <sub>2</sub> O <sub>3</sub>														1.00	0.71	0.14	0.43	0.78	0.68	0.69	0.50	0.15
NiO															1.00	0.07	0.41	0.53	0.61	0.47	0.40	0.11
CuO																1.00	0.39	0.43	0.00	0.24	0.12	0.49
ZnO																	1.00	0.44	0.12	0.49	0.41	0.46
Rb <sub>2</sub> O																		1.00	0.45	0.56	0.42	0.23
SrO																			1.00	0.33	0.32	-0.07
ZrO <sub>2</sub>																				1.00	0.61	0.35
BaO																					1.00	0.35
PbO																						1.00

1 2		Atmosphere <b>2020</b> , 11, x FOR PE	ER REVIEW	
3	1	Table 3	: Pb concentration in the premises of	of a car battery recycling plant.
4 5			Sample date	Pb Concentration (µg/m <sup>3</sup> )
6		Worki	ng day 1 (04/21/2018)	0.67
7		Worki	ng Day 2 (04/29, 2018)	0.81
8		Weeke	nd (No recycling) (05/31/2018)	0.13
9 10	2		<i>d</i> , (11)	
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12	U			
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15	5			
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17	6			
18 10	_			
19 20	7			
20	0			
22	8			
23	0			
24	9			
25	10			
26	10			
27	11			
28	11			
29	12			
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32	13			
33				
34	14			
35				
36	15			
37	16			
38	16			
39 40	17			
40	1 /			
42	18			
43	10			
44	19			
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### X-RAY Spectrometry

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**Table 4:** Summary of source tags for various elements.

Natural		Anthropogenic	
Crustal	Al, Si, Ca, K, P, Fe, Ti, Mn,	Ships	V, Ni
	Mg, Na, Cr, Sr		
Sea	Na, Cl	Road Traffic	Ba, Zn, Fe, Cu, BC (Ca,
Salt			Al, Si)
		Secondary	S
		Car battery recycling	Pb
		Unknown	Rb, Zr, (Sr)

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Figure 1. Map of the sampling site in Sharjah, showing three industrial areas, Sharjah Airport and the Arabian Gulf in the vicinity

486x217mm (96 x 96 DPI)



**Figure 2.** Variation of the mass concentrations in μg/m<sup>3</sup> for each of the PM<sub>2.5</sub> samples collected over the sampling campaign period.





Figure 4. Variation of Ca, Si, Fe and eBC concentrations with time.

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Figure 5. Scatter plot of concentration variation for Ni and V, showing good correlation (R = 0.75) between these two elements.



Figure 6. Concentration variation of Pb content for the sampling days.

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Figure 9. XRD pattern for filter 80, sampled on October 5, 2018. Most of the tested PM2.5 samples show the same phases.



Figure 10. XRD pattern for filter 35, sampled on April 17, 2018, a dusty day.



Figure 11. SEM micrograph (2000x magnification) and elemental maps of S, N, O, F and C for PM25 sample 80, collected on October 5, 2018.



Figure 12. SEM micrograph (6000x magnification) and elemental maps of Ca, S, N and O for PM<sub>10</sub> sample 67, collected on October 5, 2018.



**Figure 13.** SEM micrograph and elemental maps for sample 21, collected on February 20, 2018, showing correlations between Na and Cl for one crystal (upper right corner) and no correlation with oxygen. Several other crystals show correlations among Na, N and O.

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